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Jean C. Baker, Reg. No. 35,433

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Baskaran Chandrasekar, et al. Art Unit:

1617

Serial No.:

10/088,405

Abigail Manda Cotton

Filed:

July 24, 2002

Docket No.:

201267.90011

Title:

Local Delivery of 17-Beta Estradiol for Preventing Vascular Intima

Hyperplasia and for Improving Vascular Endothelium Function After

Examiner:

Vascular Surgery

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The accompanying documents are being submitted in order to comply with Applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56. Form PTO/SB/08 with the documents listed is enclosed herewith.

A fee is required for consideration of this document and is enclosed.

Respectfully submitted,

Baskaran Chandrasekar, et al.

Dated:

By:

Jean ♥. Baker, Reg. No. 35,433

03/17/2006 HGUTEMA1 00000033 170055

10088405 Quarles & Brady LLP

01 FC:1806 180.00 DA

411 East/Wisconsin Avenue Milwaukee, WI 53202-4497

(414) 277-5000

QBMKE\5860286.1

PTO/SB/17 (01-06)

Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/088,405 TRANSMIT Filing Date 7/24/2002 For FY 2006 First Named Inventor Baskaran Chandrasekar **Examiner Name** Abigail Manda Cotton Applicant claims small entity status. See 37 CFR 1.27 Art Unit 1617 TOTAL AMOUNT OF PAYMENT (\$) 120.00 Attorney Docket No. 201267.90011 METHOD OF PAYMENT (check all that apply) Credit Card Check Money Order None Other (please identify): ✓ Deposit Account Deposit Account Number: 17-0055 Deposit Account Name: Quarles & Brady LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES SEARCH FEES Small Entity Small Entity Small Entity Application Type** Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 130 50 65 Plant 200 300 100 160 150 80 600 Reissue 300 150 500 250 300 200 **Provisional** 100 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee Description Fee (\$) Each claim over 20 (including Reissues) 50 25 200 100 Each independent claim over 3 (including Reissues) Multiple dependent claims 360 180 **Total Claims** Multiple Dependent Claims **Extra Claims** Fee Paid (\$) Fee (\$) - 20 or HP = Fee Paid (\$) Fee (\$) HP = highest number of total claims paid for, if greater than 20. **Extra Claims** Indep. Claims Fee (\$) Fee Paid (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) Total Sheets Extra Sheets Fee (\$) \_\_\_\_ (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Supplemental IDS Other (e.g., late filing surcharge): 180.00

SUBMITTED BY				
Signature	Sanc.	Juli	Registration No. (Attorney/Agent) 35,433	Telephone 414-277-5709
Name (Print/Type	) Jean C. Baker			Date March 14, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/088,405			
Filing Date	September 21, 2000			
First Named Inventor	Chandrasekar, B. et al.			
Art Unit	1617			
Examiner Name	COTTON, Abigail M.			
Attorney Docket Number	201267.90011			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	<sup>US-</sup> 5,824,049	10-20-1998	RAGHEB, A.O. et al.	
	A2	<sup>US-</sup> 6,149,641	11-21-2000	UNGS, M.T.	
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		FOREI	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	100
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Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Jubstitu	10 10 10 11 14-3/1 10			Application Number	10/088,405		
INF	ORMATION	DIS	CLOSURE	Filing Date	September 21, 2000		
STA	STATEMENT BY APPLICANT			First Named Inventor	Chandrasekar, B. et al.		
	(Use on many she			Art Unit	1617		
(Use as many sheets as necessary)				Examiner Name	COTTON, Abigail M.		
Sheet	2	of	2	Attorney Docket Number	201267.90011		

		MON DATENT LITED ATLIDE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	AKKAD, A. et al., Human Reproduction, 1998, Vol. 13, No. 6, pp 1449-1454.	
	C2	BRUCK, B. et al., Arteriosclerosis, Thrombosis, and Vascular Biology, 1997, Vol. 17, No. 10, pp 2192-2199.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.